**International Traffic In Arms Regulations (ITAR), 22CFR, Part 122, Registration of Manufacturers and Exporters, states, any person who engages in the United States in the business of either manufacturing or exporting defense articles or furnishing defense services is required to register with the Office of Defense Trade Controls (ODTC).**

The procurement of material, supplies or services for a U.S. Government contract requires compliance by prime contractors, subcontractors, and suppliers (Seller/Offeror) with socioeconomic programs enacted into law, implemented by Executive order and promulgated by Federal Regulations. Certifications on the specific requirements and your present state of compliance are contained in sections 1 through 9. Please signify your status by checking the appropriate boxes. **All sections** **need to be completely filled out to be valid**.

**CERTIFICATION**:

The Supplier acknowledges by signing the ‘Received and Acknowledged’ section on AT-10198, an understanding of the U. S. Government’s contracting and subcontracting programs and confirms the accuracy of the statements made below. This certification shall apply to Request for Quotations, Purchase Orders or Subcontracts received from Allison Transmission, (unless otherwise updated by Supplier).

1. **CERTIFICATION REGARDING** **KNOWLEDGE OF CHILD LABOR, THRESHOLD APV≥$3,500**

**COOPERATION WITH AUTHORITIES AND REMEDIES**

**FAR 52.222-18, 52.222-19**

Allison Transmission will not make an award to an offeror, unless the offeror by checking the appropriate block, certifies to either (a) or (b) of this provision and agrees to comply with provision (c):

1. **[ ]** The offeror **will not supply** any end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in a corresponding country as listed for that end product.
2. **[ ]** The offeror **may supply** an end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in the corresponding country as listed for that product. The offeror certifies that it has made a good faith effort to determine whether forced or indentured child labor was used to mine, produce, or manufacture such end product. On the basis of those efforts, the offeror certifies that it is not aware of any such use of child labor
3. The offeror agrees to cooperate fully with authorized officials of the contracting agency, the Department of the Treasury, or the Department of Justice by providing reasonable access to records, documents, persons, or premises upon reasonable request by the authorized officials.
4. **COMBATING TRAFFICKING IN PERSONS THRESHOLD APV≥$3,500**

**FAR 52.222-50**

Allison Transmission has adopted this U.S. Government’s zero-tolerance policy regarding trafficking in persons. Allison Transmission will not make an award, unless the offeror, certifies to either (a) or (b) of this provision and agrees to comply with provision (c):

1. **[ ]** The offeror **WILL NOT SUPPLY** knowingly any end product derived with forced labor, involuntary servitude, debt bondage, employees with denied identity or immigration documents; or employees recruited using misleading or fraudulent practices.
2. **[ ]** The offeror **WILL SUPPLY** end derived with forced labor, involuntary servitude, debt bondage, employees with denied identity or immigration documents; or employees recruited using misleading or fraudulent practices.
3. The offeror agrees to cooperate fully with authorized officials of the contracting agency, and shall inform the Contracting

Officer and the agency Inspector General immediately of any credible information it receives from any source that alleges a Contractor, subcontractor, or subcontractor employee or their agent has engaged in conduct that violates the policy.

1. **AFFIRMATIVE ACTION COMPLIANCE THRESHOLD APV≥$10,000**

**FAR 52.222-25**

The offeror represents that it –

1. **[ ]  HAS and has on file at each establishment**

**[ ]  HAS NOT**

 Developed an affirmative action program as required by the rules and regulation of the Secretary of Labor (41 CFR 60-1 and 60-2)

1. **[ ]  HAS**

 **[ ]  HAS NOT**

 Previously had contracts subject to the written affirmative action programs requirements of the rules and regulations of the Secretary of Labor**.**

1. **[ ]  N/A** Suppliers with Foreign Manufacturing address or less than 50 employees are exempt.
2. **PREVIOUS CONTRACTS AND COMPLIANCE REPORTS THRESHOLD APV≥$10,000**

 **FAR 52.222-22**

The offeror represents that it –

1. **[ ]  HAS**

**[ ]  HAS NOT**

 Filed all required compliance reports

1. **[ ]  HAS**

**[ ]  HAS NOT**

Participated in a previous contract or subcontract subject to the Equal Opportunity Clause of this solicitation

1. **[ ]  N/A -** Suppliers with Foreign Manufacturing address or less than 50 employees are exempt
2. **REPORTING EXECUTIVE COMPENSATION AND FIRST-TIER THRESHOLD APV≥$30,000**

**SUBCONTRACT AWARDS**

**FAR 52.204-10**

There is no reporting exemption for Commercial-Of-The-Shelf items (COTS), Commercial Items, or Small Business/Socio-economic considerations.

1. The offeror represents that –

Its Congressional District is [     ]

The Performance Location Congressional District (if different from above) is [     ]

1. In the Offeror’s preceding tax year, the Offeror

**[ ]  DID**

**[ ]  DID NOT**

 Have annual gross income from all Federal contracts (and subcontracts) sources equal to or greater than ≥ $300,000

1. In the Offeror’s preceding tax year, the Offeror

**[ ]  DID**

**[ ]  DID NOT**

 Receive 80 percent (80%) or more of your annual gross revenues from Federal contracts (and subcontracts), loans, grants (and sub-grants), cooperative agreements and other forms of Federal financial assistance, AND

1. In the Offeror’s preceding tax year, the Offeror

**[ ]  DID**

**[ ]  DID NOT**

 Receive $25,000,000.00 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and sub-grants), cooperative agreements and other forms of Federal financial assistance

1. In the Offeror’s preceding tax year, the Offeror

**[ ]  DID**

**[ ]  DID NOT**

 Provide the public access to information about the compensation of the executives through periodic files under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a) or section 6104 of the Internal Revenue Code of 1986.

Complete the following **ONLY IF THE ANSWER** to (b) through (d) above is **[ ]**  **DID,** and the offeror has not provided the public access to the compensation of the executives through periodic files.

Offeror’s Top Five Executives \*Total Compensation

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1. **CERTIFICATION REGARDING DEBARMENT, SUSPENSION THRESHOLD APV≥$35,000 PROPOSED DEBARMENT**

**FAR 52.209-6**

1. The Seller certifies that it

 **[ ]  IS**

**[ ]  IS NOT**

Debarred, suspended, or declared ineligible in any aspect from entering into contracts with the Federal Government, or in receipt of notice of proposed debarment from any other department of the Federal Government

1. The Seller shall provide the Buyer immediate notice in the event of being suspended debarred, in receipt of notice of proposed debarment, or declared ineligible to receive awards from “any” Federal Agency.
2. **COMPLIANCE WITH VETERANS EMPLOYMENT THRESHOLD APV> $100,000 REPORTING REQUIREMENTS**

### FAR 52.222-38

1. Supplier certifies that it

**[ ]  IS**

**[ ]  IS NOT**

In compliance with special reporting requirements pertaining to Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and other eligible veterans

1. **[ ]  N/A -** Suppliers with Foreign Manufacturing address or less than 50 employees are exempt.
2. **CERTIFICATION AND DISCLOSURE REGARDING THRESHOLD APV≥$150,000 PAYMENT TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS**

**FAR 52.203-11/12**

1. The Seller certifies by signing that to the best of his or her knowledge and belief, Federal appropriated funds

**[ ]  HAVE OR WILL BE PAID**

**[ ]  HAVE NOT** **OR WILL NOT BE** **PAID**

to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, and officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement:

(b) If any funds other than Federal appropriated funds ( including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation , the seller shall complete and submit with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Buyer and

(c) The seller will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of $100,000 shall certify and disclose accordingly

1. **CERTIFICATION REGARDING** **FAIR PAY AND SAFE WORKPLACES THRESHOLD APV≥$500,000**

**FAR 52.222-59 (80 FR 30573)**

1. The Seller declares that in the immediate preceding three (3) year period, it

 **[ ]  HAS**

**[ ]  HAS NOT**

Received administrative merits determinations, civil judgments, or arbitral awards or decisions rendered against it due to violation(s) of Labor Laws prior to entering into contracts with the Federal Government.

1. The Seller shall provide the Buyer immediate notice in the event of Labor Law violations with the case number, inspection number, charge number, docket number, or other unique identification number, the date that the determination, judgment, award, or decision was rendered; and he name of the court, arbitrator(s), agency, board, or commission that rendered it.

The contractor may also provide such additional information as the contractor deems necessary to demonstrate its responsibility, such as mitigating circumstances, remedial measures (to include labor compliance agreements)

**Complete required Specialty Metals Certification form AT-101243, located on website at www.allisontransmission.com, and submit with this document as directed below**

**Form completed by (print):**

 **Company Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

 **Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

 **Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**